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REGULAR COLORINGS IN REGULAR GRAPHS

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Abstract

An $(r - 1, 1)$ -coloring of an r -regular graph G is an edge coloring (with arbitrarily many colors) such that each vertex is incident to $r - 1$ edges of one color and 1 edge of a different color. In this paper, we completely characterize all 4-regular pseudographs (graphs that may contain parallel edges and loops) which do not have a $(3, 1)$ -coloring. Also, for each $r \geq 6$ we construct graphs that are not $(r - 1, 1)$ -colorable and, more generally, are not $(r - t, t)$ -colorable for small t .

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1. INTRODUCTION

A graph with no loops or multiple edges is called *simple*; a graph in which both multiple edges and loops are allowed is called a *pseudograph*. Unless specified otherwise, the word “graph” in this paper is reserved for pseudographs. All (pseudo)graphs considered here are undirected and finite. Note that we count a loop twice in the degree of a vertex.

The famous Berge-Sauer conjecture asserts that every 4-regular simple graph contains a 3-regular subgraph [6]. This conjecture was settled by Tashkinov in 1982 [12]. In fact, he proved that every connected 4-regular pseudograph with either at most two pairs of multiple edges and no loops or at most one pair of multiple edges and at most one loop contains a 3-regular subgraph. Observe that this cannot hold for all 4-regular pseudographs, because the graph consisting of a single vertex with two loops contains no 3-regular subgraph. The following question remains open.

Question 1. *Which 4-regular pseudographs contain 3-regular subgraphs?*

Note that in 1988, Tashkinov [13] determined the values of t and r for which every r -regular pseudograph contains a t -regular subgraph. Beyond finding regular subgraphs in regular graphs, finding factors—that is, regular spanning subgraphs—in regular graphs is also of special interest. As early as 1891, Petersen [10] studied the existence of factors in regular graphs. Since then numerous results on factors have appeared—see, for example, [2, 5, 7, 11]. The concept of factors can be generalized as follows: for any set of integers S , an S -factor of a graph is a spanning subgraph in which the degree of each vertex is in S [8]. Several authors [1, 3, 9] have recently studied $\{a, b\}$ -factors in r -regular graphs with $a + b = r$. In particular, Akbari and Kano [1] made the following conjecture:

Conjecture 1. *If r is odd and $0 \leq t \leq r$, then every r -regular graph has an $\{r - t, t\}$ -factor.*

However, Axenovich and Rollin [3] disproved this conjecture. The following theorem summarizes what is known about $\{r-t, t\}$ -factors of r -regular graphs. (Note that although intended for simple graphs, the result of Petersen [10] applies to pseudographs as well.)

Theorem 2. *Let t and r be positive integers with $t \leq \frac{r}{2}$.*

(a) *When r is even.*

- *If t is even, then every r -regular graph has a t -factor, and thus has an $\{r-t, t\}$ -factor (Petersen [10]).*
- *Every r -regular graph of even order has an $\{\frac{r}{2} + 1, \frac{r}{2} - 1\}$ -factor (Lu, Wang, and Yu [9]).*
- *If t is odd and $t \leq \frac{r}{2} - 2$, then there exists a connected r -regular graph of even order that has no $\{r-t, t\}$ -factor [9].*
- *If t is odd and $t = \frac{r}{2}$, then every r -regular subgraph of even order has an $\{r-t, t\}$ -factor [9].*
- *If t is odd, then trivially, no r -regular graph of odd order has an $\{r-t, t\}$ -factor.*

(b) *When r is odd and $r \geq 5$.*

- *If t is even, then every r -regular graph has an $\{r-t, t\}$ -factor (Akbari and Kano [1]).*
- *If t is odd and $\frac{r}{3} \leq t$, then every r -regular graph has an $\{r-t, t\}$ -factor [1].*
- *If t is odd and $(t+1)(t+2) \leq r$, then there exists an r -regular graph that has no $\{r-t, t\}$ -factor (Axenovich and Rollin [3]).*

(c) *Every 3-regular graph has a $\{2, 1\}$ -factor (Tutte [14]).*

An $(r-t, t)$ -coloring of an r -regular graph G is an edge-coloring (with at least two colors) such that each vertex is incident to $r-t$ edges of one color and t edges of a different color. An *ordered* $(r-t, t)$ -coloring of G is an $(r-t, t)$ -coloring using integers as colors such that each vertex is incident to $r-t$ edges of some color i and t edges of some color j with $i < j$. Thus, in a graph with an ordered $(r-t, t)$ -coloring, regardless of how many colors are used, the set of edges colored with the minimum integer induces an $(r-t)$ -regular subgraph, and the set of edges colored with the maximum integer induces a t -regular subgraph.

Bernshteyn [4] introduced $(3, 1)$ -colorings as an approach to answer Question 1. A possible advantage of working with $(3, 1)$ -colorings is that this is a locally-defined notion. Bernshteyn proved the following.

Theorem 3 (Bernshteyn [4]). *A connected 4-regular graph contains a 3-regular subgraph if and only if it admits an ordered $(3, 1)$ -coloring.*

We observe that the notion of an $(r-t, t)$ -coloring of an r -regular graph generalizes that of an $\{r-t, t\}$ -factor. Indeed, an r -regular graph G has an

with (possibly loop) edges $e_1 = u_1v_1 \in E(G_1)$ and $e_2 = u_2v_2 \in E(G_2)$. The disjoint union of X and Y is denoted by $X \dot{\cup} Y$. The *edge adhesion* of G_1 and G_2 at e_1 and e_2 is the graph $G = (G_1, e_1) + (G_2, e_2)$ obtained by subdividing edges e_1 and e_2 and identifying the two new vertices. (See Figure 2.) That is,

$$\begin{aligned} V(G) &= V(G_1) \dot{\cup} V(G_2) \dot{\cup} \{w\}; \\ E(G) &= (E(G_1) \setminus \{e_1\}) \dot{\cup} (E(G_2) \setminus \{e_2\}) \dot{\cup} \{u_1w, v_1w, u_2w, v_2w\}. \end{aligned}$$

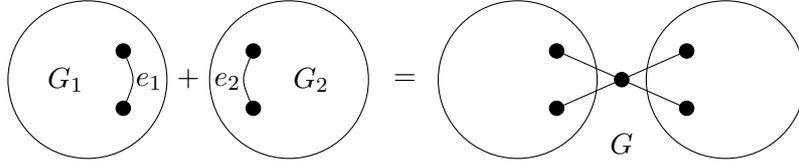


Figure 2. Edge adhesion of two graphs, $G = (G_1, e_1) + (G_2, e_2)$.

The *adhesion of a loop* to graph H at edge $e = uv \in E(H)$ is the graph $H' = (H, e) + O$ obtained by subdividing e and adding a loop at the new vertex. (See Figure 3.) That is,

$$\begin{aligned} V(H') &= V(H) \dot{\cup} \{x\}; \\ E(H') &= (E(H) \setminus \{e\}) \dot{\cup} \{ux, vx, xx\}. \end{aligned}$$

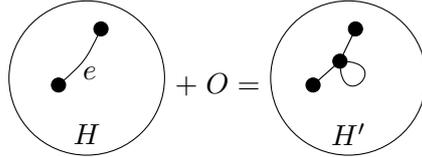


Figure 3. Adhesion of a loop at an edge, $H' = (H, e) + O$.

Let C be a cycle, which has $|E(C)| = |V(C)|$ (allowing for a degenerate cycle on 1 or 2 vertices). A *double cycle* is obtained from C by doubling each edge. We say a double cycle is even (respectively, odd) if it has an even (respectively, odd) number of vertices. (See Figure 4.)

Clearly, double cycles and graphs resulting from edge adhesion of two 4-regular graphs or from the adhesion of a loop to a 4-regular graph are all 4-regular. We are now ready to give the main result of this section.

Theorem 4. *A connected 4-regular graph is not (3, 1)-colorable if and only if it can be constructed from odd double cycles via a sequence of edge adhesions.*

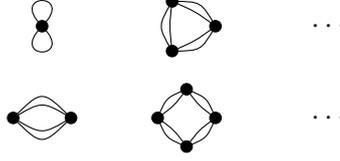


Figure 4. Double cycles (odd on top, even on bottom).

From Theorem 4 we see that any 4-regular graph that is not $(3, 1)$ -colorable has an odd number of vertices. Indeed, any 4-regular graph with an even number of vertices has a $\{3, 1\}$ -factor by Theorem 2 and hence a $(3, 1)$ -coloring using two colors.

Remark 5. Theorem 4 naturally lends itself to a proof by induction. In particular, an equivalent statement is that a connected 4-regular graph is not $(3, 1)$ -colorable if and only if it is an odd double cycle or obtained from two 4-regular, non- $(3, 1)$ -colorable graphs by a sequence of edge adhesions.

Before we prove Theorem 4, we need to develop a few lemmas.

Lemma 6. *A double cycle with $n \geq 1$ vertices is $(3, 1)$ -colorable if and only if n is even.*

Proof. Even double cycles have perfect matchings and are thus $(3, 1)$ -colorable.

Assume that there is a $(3, 1)$ -coloring c of an odd double cycle G . Let G' denote the cycle obtained by removing one of the parallel edges between any two adjacent vertices in G . Color an edge in G' red if its corresponding parallel edges in G are of the same color under c and blue otherwise. Observe that the edges incident to any vertex in G' are of different colors, since c is a $(3, 1)$ -coloring of G . This is a contradiction since G' is an odd cycle. ■

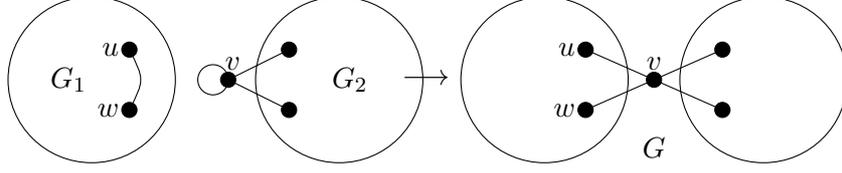
Lemma 7 (Bernshteyn [4]). *If G is a 4-regular graph and there exists a non-double edge uv in G with $u \neq v$ such that $G - \{u, v\}$ is connected, then G is $(3, 1)$ -colorable.*

Lemma 8 (Bernshteyn [4]). *If G is a 4-regular graph and $G' = (G, e) + O$ for some edge $e \in E(G)$, then either G or G' has a 3-regular subgraph.*

Lemma 9. *Let G_1 and G_2 be $(3, 1)$ -colorable 4-regular graphs and let G_2 have a loop vv . Construct G by subdividing an edge uw in G_1 , identifying the new vertex with v , and removing the loop vv , so*

$$\begin{aligned} V(G) &= V(G_1) \dot{\cup} V(G_2); \\ E(G) &= (E(G_1) \setminus \{uw\}) \dot{\cup} (E(G_2) \setminus \{vv\}) \dot{\cup} \{uv, vw\}. \end{aligned}$$

(See Figure 5.) Then G is $(3, 1)$ -colorable.

Figure 5. Joining G_2 to G_1 at a loop, as in Lemma 9.

Proof. Fix $(3, 1)$ -colorings c_i of G_i for $i \in \{1, 2\}$. Note that v in G_2 is incident to only one loop and that the two non-loop edges incident to v have different colors under c_2 . Without loss of generality, assume that $c_1(uw)$ is equal to the color of one of the non-loop edges incident to v . Therefore the colorings c_1 and c_2 extend to a $(3, 1)$ -coloring of G by coloring the edges uv and uw with color $c_1(uw)$. ■

Corollary 10. *Suppose exactly one of the connected 4-regular graphs G_1 and G_2 is $(3, 1)$ -colorable. Then for any $e_1 \in E(G_1)$ and $e_2 \in E(G_2)$, $(G_1, e_1) + (G_2, e_2)$ is $(3, 1)$ -colorable.*

Proof. Without loss of generality, we assume that G_1 is $(3, 1)$ -colorable and G_2 is not. Let $e_1 \in E(G_1)$ and $e_2 \in E(G_2)$. By Theorem 3 and Lemma 8, the graph $G'_2 = (G_2, e_2) + O$ is $(3, 1)$ -colorable. Applying Lemma 9 to G_1 and G'_2 , we see that $(G_1, e_1) + (G_2, e_2)$ is $(3, 1)$ -colorable. ■

Lemma 11. *Let G be a 4-regular graph that is not $(3, 1)$ -colorable. If G has a non-double, non-loop edge, then G is not 2-connected.*

Proof. Let uv be a non-double, non-loop edge, and suppose for contradiction that G is 2-connected. By Lemma 7, since G is not $(3, 1)$ -colorable, $G' = G - \{u, v\}$ is disconnected. Since G is 2-connected, neither u nor v is a cut-vertex. Therefore, every component of G' must contain at least one vertex from $N_G(u)$ and at least one vertex from $N_G(v)$. Since the sum of the degrees of the vertices must be even in each component, the 4-regularity of G implies that each component of G' must have been connected to $\{u, v\}$ by an even number of edges. Let $N_G(u) \setminus \{v\} = \{u_1, u_2, u_3\}$ and $N_G(v) \setminus \{u\} = \{v_1, v_2, v_3\}$. Without loss of generality, G' is the disjoint union of a component G_1 containing u_1 and v_1 and a subgraph G_2 (of one or two components) containing $u_2, u_3, v_2,$ and v_3 .

Let $G'_1 = (G_1 + u_1v_1, u_1v_1) + O$ and $G'_2 = ((G - G_1) + uv, uv) + O$. (See Figure 6.) That is,

$$\begin{aligned} V(G'_1) &= V(G_1) \dot{\cup} \{w_1\}; \\ E(G'_1) &= E(G_1) \dot{\cup} \{u_1w_1, v_1w_1, w_1w_1\}; \\ V(G'_2) &= V(G_2) \dot{\cup} \{u, v, w_2\}; \\ E(G'_2) &= E(G_2) \dot{\cup} \{uu_2, uu_3, uv, vv_2, vv_3, uw_2, vw_2, w_2w_2\}. \end{aligned}$$

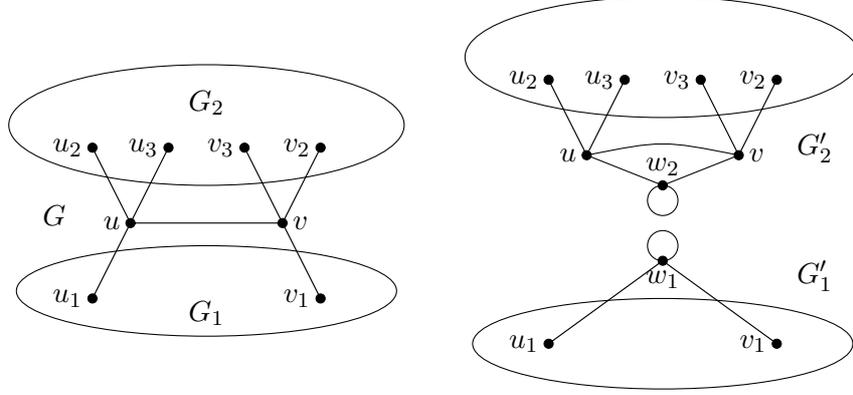


Figure 6. Splitting a 2-connected graph into two $(3, 1)$ -colorable graphs, from the proof of Lemma 11.

By the assumption of 2-connectedness, the vertex u_1 is not a cut-vertex of G . If $u_1 = v_1$, then the vertex also has a loop (so as not to be a cut vertex) and then G'_1 is trivially $(3, 1)$ -colorable. Otherwise, $u_1 \neq v_1$ and $G'_1 - \{u_1, w_1\}$ is connected. Thus by Lemma 7, G'_1 is $(3, 1)$ -colorable. Likewise, $G'_2 - \{u, w_2\}$ is connected, so G'_2 is $(3, 1)$ -colorable. Select $(3, 1)$ -coloring c_i of G'_i for $i \in \{1, 2\}$. Note that because of the loops, $c_1(u_1 w_1) \neq c_1(v_1 w_1)$ and $c_2(u w_2) \neq c_2(v w_2)$. We can assume that $c_1(u_1 w_1) = c_2(u w_2)$ and $c_1(v_1 w_1) = c_2(v w_2)$. Therefore, the colorings c_1 and c_2 easily extend to a $(3, 1)$ -coloring c of G , which is a contradiction. ■

Lemma 12. *Let G be a connected 4-regular graph that is not 2-connected. Then $G = (G_1, e_1) + (G_2, e_2)$ for some 4-regular graphs G_1, G_2 and edges $e_1 \in E(G_1)$, $e_2 \in E(G_2)$.*

Proof. Indeed, let $w \in V(G)$ be a cut-vertex. Now the lemma is implied by the following observation. Since the number of vertices with odd degrees in a graph is always even, $G - w$ consists of exactly two components and each of these components receives exactly two of the edges incident to w . ■

Proof of Theorem 4. Consider 4-regular graphs G_1 and G_2 and edges e_1 in G_1 , e_2 in G_2 . Any $(3, 1)$ -coloring of $(G_1, e_1) + (G_2, e_2)$ yields a $(3, 1)$ -coloring of G_1 or G_2 , since the edges obtained by subdividing e_1 or e_2 are of the same color. Therefore every graph that is obtained from odd double cycles via edge adhesion is not $(3, 1)$ -colorable due to Lemma 6.

Now let G be a connected 4-regular graph that is not $(3, 1)$ -colorable. We use induction on $|V(G)|$ to prove that G is constructed from odd double cycles via edge adhesion. If $|V(G)| = 1$, then G is a double cycle of one vertex and the theorem trivially holds. Assume that $|V(G)| \geq 2$. We may also assume that

G contains a non-double edge. Otherwise, if every edge is double, then G is a double cycle, and by Lemma 6, G is an odd double cycle, and thus we are done.

If each non-double edge is a loop, then one can easily check that G is not 2-connected. If G has a non-double non-loop edge, Lemma 11 implies that it is not 2-connected. By Lemma 12, $G = (G_1, e_1) + (G_2, e_2)$ for some 4-regular graphs G_1, G_2 and edges $e_1 \in E(G_1), e_2 \in E(G_2)$. Corollary 10 implies that either both G_1 and G_2 are $(3, 1)$ -colorable or neither of them is $(3, 1)$ -colorable. In the latter case, by the inductive hypothesis, we are done.

Assume that both G_1 and G_2 are $(3, 1)$ -colorable. Let $G'_1 = (G_1, e_1) + O$ and observe that G is obtained from G'_1 and G_2 as in the statement of Lemma 9. Since G_2 is $(3, 1)$ -colorable, but G is not, Lemma 9 implies that G'_1 is not $(3, 1)$ -colorable. Therefore, by the inductive hypothesis, G'_1 is obtained from odd double cycles via edge adhesion. Since G'_1 contains a loop and at least two vertices, it is not a double cycle. Thus, $G'_1 = (G'_{11}, e'_{11}) + (G'_{12}, e'_{12})$, where neither G'_{11} nor G'_{12} is $(3, 1)$ -colorable. Note that, without loss of generality, G'_{11} does not contain the subdivided edge e_1 , and so $G = (G'_{11}, e'_{11}) + (H, f)$ for some graph H and edge f in H . Since both G and G'_{11} are not $(3, 1)$ -colorable, neither is H by Corollary 10. We have shown that G is obtained from two graphs that are not $(3, 1)$ -colorable via edge adhesion, and so the inductive step is complete. ■

3. r -REGULAR GRAPHS FOR $r \geq 5$

Question 2 for $r = 5$ remains open at this time. However, in this section we demonstrate that there are r -regular graphs with no $(1, r - 1)$ -coloring for each $r \geq 6$. More generally, for each odd t and each even r , as well as for each odd t and each odd $r \geq (t + 2)(t + 1)$, we construct an r -regular graph with no $(r - t, t)$ -coloring. Note that for even t , every r -regular graph has an $(r - t, t)$ -coloring and for odd $t \geq \frac{r}{3}$ and even r every r -regular graph has a $(r - t, t)$ -coloring due to Theorem 2.

Theorem 13. *Let r and t be positive integers with $t \leq \frac{r}{2}$ odd. If r is even or $r \geq (t + 2)(t + 1)$, then there exists a connected r -regular graph that is not $(r - t, t)$ -colorable.*

Observe that this is the same upper bound on odd r as in Theorem 2(b) (due to [3]) for the existence of r -regular graphs without $\{r - t, t\}$ -factors.

Proof. First, if r is even, then the r -regular graph with one vertex and $\frac{r}{2}$ loops has no $(r - t, t)$ -coloring, since t is odd.

Now suppose that $r \geq (t + 2)(t + 1) \geq 6$ is odd. Let G be a graph on vertices $v, u, u_1, \dots, u_{t+1}$ with $t + 2$ edges between v and u_i and $\frac{r-t-2}{2}$ loops incident to $u_i, 1 \leq i \leq t + 1$, and $r - (t + 2)(t + 1) \geq 0$ edges between v and u and $\frac{(t+2)(t+1)}{2}$

loops incident to u . Observe that G is r -regular. Suppose that G admits an $(r-t, t)$ -coloring. Then there is an i such that all $t+2$ edges between v and u_i are of the same color. However, this is a contradiction, because there is no coloring of the loops incident to this u_i such that there are exactly t edges of another color incident to u_i , as t is odd. ■

Now we will exhibit r -regular graphs of even order that have $(r-1, 1)$ -colorings but not $\{r-1, 1\}$ -factors. The constructions are similar to constructions in [9].

Theorem 14. *For every even $r \geq 6$ there exists a connected $(r-1, 1)$ -colorable r -regular graph of even order without an $\{r-1, 1\}$ -factor.*

Proof. Note that K_{r+1} has an odd number of vertices and thus does not have an $\{r-1, 1\}$ -factor, as $r-1$ is odd. However, there is an $(r-1, 1)$ -coloring with 3 colors. Indeed color a copy of K_r red, $r-1$ of the remaining edges blue, and the last edge green.

If $\frac{r}{2}$ is odd, then let $G_1, \dots, G_{\frac{r}{2}}$ be vertex-disjoint copies of $K_{r+1} - e$. Form a graph G from the union of G_i by connecting all vertices of degree $r-1$ in the G_i to a new vertex u . Then G has an even number of vertices and is r -regular. Moreover there is an $(r-1, 1)$ -coloring with 3 colors. Indeed, start by coloring $r-1$ of the edges incident to u green, and the other blue. For each of the $\frac{r}{2} - 1$ copies of $K_{r+1} - e$ with two incoming green edges, color red a copy of K_r that contains exactly one of the neighbors of u , and color the other $r-1$ edges (incident to the other neighbor of u) blue. In the final copy of $K_{r+1} - e$, do the same, making sure that the K_r contains the neighbor of u with the incoming blue end. Now that we have shown G to be $(r-1, 1)$ -colorable, assume that G has an $\{r-1, 1\}$ -factor, i.e., an $(r-1, 1)$ -coloring in two colors. Then there is an i , $1 \leq i \leq \frac{r}{2}$, such that both edges between G_i and u are of the same color. This yields an $(r-1, 1)$ -coloring of K_{r+1} in two colors, a contradiction.

If $\frac{r}{2}$ is even, then let $t = 3(\frac{r}{2} - 1)$. Let G_1, \dots, G_t be vertex-disjoint copies of $K_{r+1} - e$. Form a graph G from the union of the G_i and a disjoint copy of K_3 with vertex set $\{u_0, u_1, u_2\}$ by connecting both vertices of degree $r-1$ in G_i to u_j if $j(\frac{r}{2} - 1) < i \leq (j+1)(\frac{r}{2} - 1)$. Then G has an even number of vertices and is r -regular. One can show that G has an $(r-1, 1)$ -coloring but no $\{r-1, 1\}$ -factor with arguments similar to those given above. ■

4. CONCLUDING REMARKS

Here we state a number of open problems related to our work. Recall from the Introduction that Tashkinov [12] showed that every 4-regular graph with no

multiple edges and at most one loop contains a 3-regular subgraph. It is not known whether the restriction on the number of loops is necessary.

Question 4. *Does every 4-regular graph with no multiple edges have a 3-regular subgraph?*

Let us note that Question 4 is open even for the class of 4-regular graphs with no multiple edges and at most two loops. (Note that we regard two loops at a single vertex as a pair of multiple edges.)

Most of our unanswered questions concern 5-regular graphs. The first case of Conjecture 1 that Theorem 2 does not address is when $r = 5$ and $t = 1$.

Conjecture 15. *Every 5-regular graph has a $\{4, 1\}$ -factor.*

Weakening this, we have the following unresolved case of Question 2.

Question 5. *Does every 5-regular graph have a $(4, 1)$ -coloring?*

Another variation of this question concerns colorings with a bounded number of colors. Bernshteyn [4] showed that if G is a 4-regular graph that has a $(3, 1)$ -coloring, then G has a $(3, 1)$ -coloring that uses at most three colors.

Question 6. *Is there a positive integer K such that every 5-regular graph has a $(4, 1)$ -coloring using at most K colors?*

Question 6 lies “between” Conjecture 15 and Question 5 in the following sense. An affirmative answer to Question 6 clearly gives an affirmative answer to Question 5. On the other hand, as observed in the Introduction, Conjecture 15 implies an affirmative answer to Question 6 with $K = 2$.

Our final question concerns ordered $(r - 1, 1)$ -colorings.

Question 7. *For $r \geq 5$, if G is an r -regular graph with an $(r - 1)$ -regular subgraph, does G admit an ordered $(r - 1, 1)$ -coloring?*

As observed in the Introduction, the converse to this statement always holds (see Figure 1). Also, Theorem 3 implies that the corresponding statement is true for $r = 4$.

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