

## THE INERTIA OF UNICYCLIC GRAPHS AND BICYCLIC GRAPHS

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### Abstract

Let  $G$  be a graph with  $n$  vertices and  $\nu(G)$  be the matching number of  $G$ . The inertia of a graph  $G$ ,  $In(G) = (n_+, n_-, n_0)$  is an integer triple specifying the numbers of positive, negative and zero eigenvalues of the adjacency matrix  $A(G)$ , respectively. Let  $\eta(G) = n_0$  denote the nullity of  $G$  (the multiplicity of the eigenvalue zero of  $G$ ). It is well known that if  $G$  is a tree, then  $\eta(G) = n - 2\nu(G)$ . Guo *et al.* [Ji-Ming Guo, Weigen Yan and Yeong-Nan Yeh. On the nullity and the matching number of unicyclic graphs, *Linear Algebra and its Applications*, 431 (2009), 1293–1301.] proved if  $G$  is a unicyclic graph, then  $\eta(G)$  equals  $n - 2\nu(G) - 1$ ,  $n - 2\nu(G)$  or  $n - 2\nu(G) + 2$ . Barrett *et al.* determined the inertia sets for trees and graphs with cut vertices. In this paper, we give the nullity of bicyclic graphs  $\mathcal{B}_n^{++}$ . Furthermore, we determine the inertia set in unicyclic graphs and  $\mathcal{B}_n^{++}$ , respectively.

**Keywords:** matching number, inertia, nullity, unicyclic graph, bicyclic graph.

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### 1. INTRODUCTION

Let  $G = (V(G), E(G))$  be a simple graph with vertex set  $V(G) = \{v_1, \dots, v_n\}$  and edge set  $E(G)$ . The inertia of a graph  $G$ ,  $In(G) = (n_+, n_-, n_0)$  is an integer triple specifying the numbers of positive, negative and zero eigenvalues of the adjacency matrix  $A(G)$ , respectively. It is well known if  $G$  is a bipartite graph, then  $n_+ = n_-$ . Barrett, Hall, and Loewy [1] determined the inertia sets for trees and graphs with cut vertices. The nullity of  $G$ , denoted by  $\eta = \eta(G) = n_0$ , is the multiplicity

of the number zero in the spectrum of  $G$ . Then  $n_+ + n_- = n - r(A(G)) = \eta$ . The nullity of graphs is of interest in chemistry since the occurrence of a zero eigenvalue of a bipartite graph (corresponding to an alternant hydrocarbon) indicates the chemical instability of the molecule which such a graph represents. The question is of interest also for non-alternant hydrocarbons (non-bipartite graph), but a direct connection with the chemical stability in these cases is not so straightforward. The nullity has been determined for trees, unicyclic graphs and bicyclic graphs, respectively [4, 5, 6]. Recently, Gutman and Borovićanin give a survey on the nullity of graphs.

A unicyclic graph is a simple connected graph with equal numbers of vertices and edges. For the sake of a convenient description, let  $\mathcal{U}_n$  be the set of unicyclic graphs with  $n$  vertices. A bicyclic graph is a simple connected graph in which the number of edges equals the number of vertices plus one.

Let  $C_p$  and  $C_q$  be two vertex-disjoint cycles. Suppose that  $v_1 \in C_p, v_l \in C_q$ . Joining  $v_1$  and  $v_l$  by a path  $v_1v_2 \cdots v_l$  of length  $l-1$ , where  $l \geq 1$  and  $l=1$  means identifying  $v_1$  with  $v_l$ , resultant graph, denoted by  $\infty(p, l, q)$ , is called an  $\infty$ -graph. Let  $P_{l+1}, P_{p+1}$  and  $P_{q+1}$  be three vertex-disjoint paths, where  $\min\{p, l, q\} \geq 1$  and at most one of them is 1. Identifying the three initial vertices and terminal vertices of them, respectively, resultant graph, denoted by  $\theta(p, l, q)$ , is called a  $\theta$ -graph (see Figure 1).

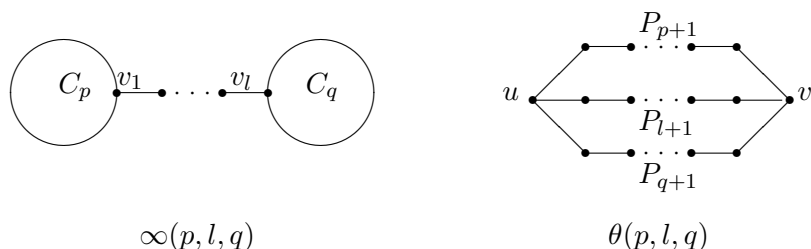


Figure 1

Let  $\mathcal{B}_n$  be the set of all bicyclic graphs of order  $n$ .  $\mathcal{B}_n$  consists of three types of graphs: the first type denoted by  $\mathcal{B}_n^+$  is the set of those graphs each of which is an  $\infty$ -graph with trees attached when  $l > 1$ ; the second type denoted by  $\mathcal{B}_n^{++}$  is the set of those graphs each of which is an  $\infty$ -graph with trees attached when  $l = 1$ ; the third type denoted by  $\theta_n$  is the set of those graphs each of which is an  $\theta$ -graph with trees attached.

In Section 3, we study the inertia in  $\mathcal{U}_n$ . In Section 4, we give the nullity and the inertia sets in  $\mathcal{B}_n^{++}$ , respectively.

2. MAIN LEMMAS

A matching of  $G$  is a collection of independent edges of  $G$ . A maximum matching is a matching with the maximum possible number of independent edges. The size of a maximum matching of  $G$ , i.e., the maximum number of independent edges of  $G$ , is denoted by  $\nu = \nu(G)$ .

Denote by  $\varphi(x) = \varphi_G(x)$  the characteristic polynomial of  $G$ . Let

$$(1) \quad \varphi(x) = |xI - A| = x^n + a_1x^{n-1} + a_2x^{n-2} + \dots + a_{n-1}x + a_n.$$

Then [2]

$$(2) \quad a_i = \sum_U (-1)^{p(U)} 2^{c(U)} \quad (i = 1, 2, \dots, n),$$

where the sum is over all subgraphs  $U$  of  $G$  consisting of disjoint edges and cycles and having exactly  $i$  vertices (called "basic figures"). If  $U$  is such a subgraph, then  $p(U)$  is the number of its components, of which  $c(U)$  components are cycles.

**Example 1.** Let  $G$  is a bipartite graph, then  $G$  does not contain an odd cycle, so  $a_{2i+1} = 0$  ( $i \geq 1$ ).

**Example 2.** Considering equation (1) with equation (2), it is easy to obtain  $a_1 = 0$  and  $a_2 = 2m$  ( $m$  is the number of edges of  $G$ ). In the following, we calculate  $a_3$  and  $a_4$ . The subgraphs  $U$  of  $G$  having exactly 3 vertices consist of only the cycle  $C_3$ . Suppose that  $n_\Delta$  is the number of the cycles  $C_3$  in  $G$ , then  $a_3 = -2n_\Delta$ . Let  $n_\square$  and  $\nu_2(G)$  be the number of the cycles  $C_4$ , and two mutually disjoint edges in  $G$ , respectively, then  $a_4 = \nu_2(G) - 2n_\square$ .

Next, we introduce the well-known Cauchy's interlacing theorem in matrix theory.

**Lemma 3** [2]. *Let  $A$  be symmetric and  $A'$  be one of its principal submatrices. Let  $\lambda_1 \geq \dots \geq \lambda_n$  and  $\lambda'_1 \geq \dots \geq \lambda'_m$  be the eigenvalues of  $A$  and  $A'$ , respectively. Then the inequality  $\lambda_i \geq \lambda'_i \geq \lambda_{n-m+i}$  holds for all  $i = 1, 2, \dots, m$ .*

Applying the Cauchy's interlacing theorem to the adjacency matrix  $A(G)$  of the graph  $G$ , we have the following corollary.

**Corollary 4.** *Let  $V_0$  be the  $k$ -subset of  $G = (V, E)$  with  $n$  vertices ( $0 \leq k \leq n-1$ ), and  $G - V_0$  be the subgraph induced by removing the vertices  $V_0$  and their incident edges. Then  $\lambda_i(G) \geq \lambda_i(G - V_0) \geq \lambda_{i+k}(G)$  ( $1 \leq i \leq n - k$ ).*

The next lemma is useful to the proof of our main results.

**Lemma 5** [2]. *For a graph  $G$  containing a pendent vertex, if the induced subgraph  $H$  of  $G$  is obtained by deleting this vertex together with the vertex adjacent to it, then the relation  $\eta(H) = \eta(G)$  holds.*

## 3. THE INERTIA OF UNICYCLIC GRAPHS

In this section, we determine the inertia in  $\mathcal{U}_n$ . In order to prove our result, the following lemma is necessary.

**Lemma 6** [5]. *Suppose  $G \in \mathcal{U}_n$  with the cycle  $C_l$ . Then*

- (1)  $\eta(G) = n - 2\nu(G) - 1$ , if  $\nu(G) = \frac{l-1}{2} + \nu(G - C_l)$ ;
- (2)  $\eta(G) = n - 2\nu(G) + 2$ , if  $G$  satisfies:  $\nu(G) = \frac{l}{2} + \nu(G - C_l)$ ,  $l \equiv 0 \pmod{4}$  and no maximum matching contains an edge incident to  $C_l$ ;
- (3)  $\eta(G) = n - 2\nu(G)$ , otherwise.

If  $G \in \mathcal{U}_n$  is a bipartite graph, we know  $n_+ = n_-$  and  $n_+ + n_- = n - \eta(G)$ , then  $In(G) = (\nu(G) - 1, \nu(G) - 1, n - 2\nu(G) + 2)$  or  $In(G) = (\nu(G), \nu(G), n - 2\nu(G))$ . So we only consider those graphs  $G \in \mathcal{U}_n$  which are non-bipartite.

**Lemma 7.** *If  $G \in \mathcal{U}_n$  is a non-bipartite graph, then  $In(G) = (\nu(G) + 1, \nu(G), n - 2\nu(G) - 1)$ ,  $In(G) = (\nu(G), \nu(G) + 1, n - 2\nu(G) - 1)$  or  $In(G) = (\nu(G), \nu(G), n - 2\nu(G))$ .*

**Proof.** Since  $G \in \mathcal{U}_n$  with the cycle  $C_l$  is a non-bipartite graph, then  $l$  is odd. Let  $v_i \in V(C_l)$  and  $d_i \geq 3$ . Suppose that  $T_1, \dots, T_{d_i}$  are the components of  $G - v_i$  where  $d_i = d(v_i)$ . Let  $V(T_j) = n_j$  and  $\nu_j = \nu(T_j)$  ( $j = 1, \dots, d_i$ ), so we have  $\sum_{j=1}^{d_i} n_j = n - 1$  and  $\sum_{j=1}^{d_i} \nu_j = \nu(G)$  or  $\nu(G) - 1$ . And  $In(T_j) = (\nu_j, \nu_j, n_j - 2\nu_j)$ . We discuss two cases in the following.

- (1)  $\nu(G) = \frac{l-1}{2} + \nu(G - C_l)$ , then  $\eta(G) = n - 2\nu(G) - 1$  and  $\sum_{j=1}^{d_i} \nu_j = \nu(G)$ . We know  $\eta(G - v_i) = \sum_{j=1}^{d_i} \eta(T_j) = n - 1 - 2 \sum_{j=1}^{d_i} \nu_j = n - 2\nu(G) - 1$ . Let  $\lambda'_1, \dots, \lambda'_{\nu(G)}, \underbrace{\lambda'_{\nu(G)+1}, \dots, \lambda'_{n-1-\nu(G)}}_{n-2\nu(G)-1}, \lambda'_{n-\nu(G)}, \dots, \lambda'_{n-1}$  be

the eigenvalues of  $G - v_i$  according to nondecreasing order. By Corollary 4, we have  $\lambda_{n-\nu(G)+1}(G) \leq \lambda'_{n-\nu(G)} < 0$  and  $\lambda_{\nu(G)}(G) \geq \lambda'_{\nu(G)} > 0$ . So  $In(G) = (\nu(G) + 1, \nu(G), n - 2\nu(G) - 1)$  or  $In(G) = (\nu(G), \nu(G) + 1, n - 2\nu(G) - 1)$ .

- (2)  $\nu(G) \neq \frac{l-1}{2} + \nu(G - C_l)$ , then  $\eta(G) = n - 2\nu(G)$  and  $\sum_{j=1}^{d_i} \nu_j = \nu(G) - 1$ . We know  $\eta(G - v_i) = \sum_{j=1}^{d_i} \eta(T_j) = n - 1 - 2 \sum_{j=1}^{d_i} \nu_j = n - 2\nu(G) + 1$ . Let  $\lambda'_1, \dots, \lambda'_{\nu(G)}, \underbrace{\lambda'_{\nu(G)+1}, \dots, \lambda'_{n-\nu(G)+1}}_{n-2\nu(G)+1}, \lambda'_{n-\nu(G)+2}, \dots, \lambda'_{n-1}$  be the eigen-

values of  $G - v_i$  according to nondecreasing order. By Corollary 4, we have  $\lambda_{n-\nu(G)+2}(G) \leq \lambda'_{n-\nu(G)+1} < 0$  and  $\lambda_{\nu(G)}(G) \geq \lambda'_{\nu(G)} > 0$ . And  $\eta(G) = n - 2\nu(G)$ , so  $In(G) = (\nu(G), \nu(G), n - 2\nu(G))$ . ■

Basing on the above detailed account, we obtain the next theorem.

**Theorem 8.** *If  $G \in \mathcal{U}_n$ , then  $In(G) = (\nu(G) - 1, \nu(G) - 1, n - 2\nu(G) + 2), (\nu(G), \nu(G), n - 2\nu(G)), (\nu(G) + 1, \nu(G), n - 2\nu(G) - 1)$  or  $(\nu(G), \nu(G) + 1, n - 2\nu(G) - 1)$ .*

4. THE INERTIA OF BICYCLIC GRAPHS

In this section, we only consider  $\mathcal{B}_n^{++}$ . For  $G \in \mathcal{B}_n^{++}$ , we give the nullity of  $G$  and determine the inertia of  $G$  according to  $\nu(G)$ , respectively.

**Lemma 9.** *The graph  $\infty(p, 1, q)$  is defined as above, then*

- (1)  $\eta(\infty(4s, 1, 4t + 2)) = 1$  ( $s, t \geq 1$ );
- (2)  $\eta(\infty(4s, 1, 4t)) = 3$  ( $s, t \geq 1$ ).

**Proof.** Let  $\varphi_1(x) = |xI - A| = x^n + a_1x^{n-1} + a_2x^{n-2} + \dots + a_{4s+4t}x + a_{4s+4t+1}$  and  $\varphi_2(x) = |xI - B| = x^n + b_1x^{n-1} + b_2x^{n-2} + \dots + b_{4s+4t-2}x + b_{4s+4t-1}$  be the polynomials of  $\infty(4s, 1, 4t+2)$  and  $\infty(4s, 1, 4t)$ , respectively. Since  $\infty(4s, 1, 4t+2)$  and  $\infty(4s, 1, 4t)$  are bipartite graph, so by the equation (2), we have  $a_{2i+1} = 0$  and  $b_{2i+1} = 0$  for  $i \geq 1$ . First of all, we consider  $a_{4s+4t}$  using the equation (2), then  $a_{4s+4t} = 2m_1(-1)^{2t+1} + 2m_2(-1)^{2s} + (2m_1 + 2m_2) \neq 0$ , where  $m_1$  is the number of methods picking up  $2t$  disjoint edges from  $P_{4t+1}$  and  $m_2$  is the number of methods picking up  $2s - 1$  disjoint edges from  $P_{4s-1}$ . So  $\eta(\infty(4s, 1, 4t+2)) = 1$ .

Next, we prove  $b_{4s+4t-2} = 0$  and  $b_{4s+4t-4} \neq 0$ . Using the similar method as above, we have  $b_{4s+4t-2} = 2m_1(-1)^{2t} + 2m_2(-1)^{2s} - (2m_1 + 2m_2) = 0$ , where  $m_1$  is the number of methods picking up  $2t - 1$  disjoint edges from  $P_{4t-1}$  and  $m_2$  is the number of methods picking up  $2s - 1$  disjoint edges from  $P_{4s-1}$ . And  $b_{4s+4t-4} \geq m_3 > 0$  where  $m_3$  is the number of methods picking up  $2t - 1$  disjoint edges from  $P_{4t}$  and picking up  $2s - 1$  disjoint edges from  $P_{4s-1}$ . So we complete the proof. ■

Using the similar method of proof in Lemma 9 and the equation (2), we obtain the following lemma.

**Lemma 10.** *The graph  $\infty(p, 1, q)$  is defined as above, then*

- (1)  $\eta(\infty(2s + 1, 1, 4t)) = \eta(\infty(4s + 1, 1, 4t + 3)) = 1$ ;
- (2)  $\eta(\infty(2s + 1, 1, 4t + 2)) = \eta(\infty(4s + 1, 1, 4t + 1)) = 0$ .

**Lemma 11** [3]. *If a bipartite graph  $G$  with  $n \geq 1$  vertices does not contain any cycle of length  $4s$  ( $s \geq 1$ ), then  $\eta(G) = n - 2\nu(G)$ .*

In accordance with Lemma 11, it is easy to know for  $G \in \mathcal{B}_n^{++}$  is a bipartite graph with not containing cycle  $C_{4s}$  ( $s \geq 1$ ), then  $\eta(G) = n - 2\nu(G)$ , so  $In(G) = (\nu(G), \nu(G), n - 2\nu(G))$ . Hence in the following, we discuss the case  $G \in \mathcal{B}_n^{++}$  is a bipartite graph with containing cycles  $C_{4s}$  ( $s \geq 1$ ).

**Lemma 12.** *If  $G \in \mathcal{B}_n^{++}$  is a bipartite graph with containing cycle  $C_{4s}$  ( $s \geq 1$ ), then  $\eta(G) = n - 2\nu(G)$  or  $\eta(G) = n - 2\nu(G) + 2$ .*

**Proof.** Putting to use the Lemma 5  $a$  times, we can obtain the following cases:

- (1)  $T_i$  ( $1 \leq i \leq s$ ) are the components where  $T_i$  ( $1 \leq i \leq s$ ) are trees with  $n_i$  vertices. Then  $\eta(G) = \sum_{i=1}^s \eta(T_i) = \sum_{i=1}^s (n_i - 2\nu(T_i)) = n - a - 2(\nu(G) - a) = n - 2\nu(G)$ .
- (2)  $U_0, T_i$  ( $1 \leq i \leq s$ ) are the components where  $T_i$  ( $1 \leq i \leq s$ ) are trees with  $n_i$  vertices and  $U_0$  is a unicyclic graph with  $n_0$  vertices. By Lemma 6, we know  $\eta(U_0) = n_0 - 2\nu(U_0)$  or  $n_0 - 2\nu(U_0) + 2$ , so  $\eta(G) = \eta(U_0) + \sum_{i=1}^s \eta(T_i) = n - 2\nu(G)$  or  $n - 2\nu(G) + 2$ .
- (3)  $\infty(p, 1, q), T_i$  ( $1 \leq i \leq s$ ) are the components where  $T_i$  ( $1 \leq i \leq s$ ) are trees with  $n_i$  vertices and  $\infty(p, 1, q)$  is a bicyclic graph with  $n_0$  vertices. By Lemma 9, we have  $\eta(\infty(4s, 1, 4t + 2)) = 1$  or  $\eta(\infty(4s, 1, 4t)) = 3$ . Then  $\eta(G) = \eta(\infty(p, 1, q)) + \sum_{i=1}^s \eta(T_i) = n - 2\nu(G)$  or  $n - 2\nu(G) + 2$ . ■

Combining Lemmas 10 and 12, we obtain the following theorem.

**Theorem 13.** *If  $G \in \mathcal{B}_n^{++}$  is a bipartite graph, then  $\eta(G) = n - 2\nu(G)$  or  $\eta(G) = n - 2\nu(G) + 2$ .*

**Lemma 14.** *If  $G \in \mathcal{B}_n^{++}$  is a non-bipartite graph, then  $\eta(G) = n - 2\nu(G) - 1$ ,  $n - 2\nu(G)$ ,  $n - 2\nu(G) + 1$  or  $\eta(G) = n - 2\nu(G) + 2$ .*

**Proof.** Putting to use the Lemma 5  $b$  times, we can obtain the following cases:

- (1)  $T_i$  ( $1 \leq i \leq s$ ) are the components where  $T_i$  ( $1 \leq i \leq s$ ) are trees with  $n_i$  vertices. Then  $\eta(G) = \sum_{i=1}^s \eta(T_i) = n - 2\nu(G)$ .
- (2)  $U_0, T_i$  ( $1 \leq i \leq s$ ) are the components where  $T_i$  ( $1 \leq i \leq s$ ) are trees with  $n_i$  vertices and  $U_0$  is a unicyclic graph with  $n_0$  vertices. By Lemma 6, we know  $\eta(U_0) = n_0 - 2\nu(U_0)$ ,  $n_0 - 2\nu(U_0) + 2$  or  $n_0 - 2\nu(U_0) - 1$ , so  $\eta(G) = \eta(U_0) + \sum_{i=1}^s \eta(T_i) = n - 2\nu(G)$ ,  $n - 2\nu(G) + 2$  or  $n - 2\nu(G) - 1$ .

- (3)  $\infty(p, 1, q), T_i$  ( $1 \leq i \leq s$ ) are the components where  $T_i$  ( $1 \leq i \leq s$ ) are trees with  $n_i$  vertices and  $\infty(p, 1, q)$  is a bicyclic graph with  $n_0$  vertices. By Lemma 10, we have  $\eta(\infty(2t+1, 1, 4s)) = 1$ ,  $\eta(\infty(2t+1, 1, 4s+2)) = 0$ ,  $\eta(\infty(4s+1, 1, 4t+1)) = 0$  or  $\eta(\infty(4s+1, 1, 4t+3)) = 1$ . Then  $\eta(G) = \eta(\infty(p, 1, q)) + \sum_{i=1}^s \eta(T_i) = n - 2\nu(G) + 1$ ,  $n - 2\nu(G)$  or  $n - 2\nu(G) - 1$ . ■

Using the similar method of Lemma 7 and Lemma 14, we have the next lemma.

**Lemma 15.** *If  $G \in \mathcal{B}_n^{++}$  is a non-bipartite graph, then  $In(G) = (\nu(G), \nu(G) + 1, n - 2\nu(G) - 1)$ ,  $(\nu(G) + 1, \nu(G), n - 2\nu(G) - 1)$ ,  $(\nu(G), \nu(G), n - 2\nu(G))$ ,  $(\nu(G), \nu(G) - 1, n - 2\nu(G) + 1)$ ,  $(\nu(G) + 1, \nu(G) - 2, n - 2\nu(G) + 1)$ ,  $(\nu(G), \nu(G) - 2, n - 2\nu(G) + 2)$ .*

So we obtain our main result.

**Theorem 16.** *If  $G \in \mathcal{B}_n^{++}$ , then  $In(G) = (\nu(G), \nu(G) + 1, n - 2\nu(G) - 1)$ ,  $(\nu(G) + 1, \nu(G), n - 2\nu(G) - 1)$ ,  $(\nu(G), \nu(G), n - 2\nu(G))$ ,  $(\nu(G), \nu(G) - 1, n - 2\nu(G) + 1)$ ,  $(\nu(G) + 1, \nu(G) - 2, n - 2\nu(G) + 1)$ ,  $(\nu(G), \nu(G) - 2, n - 2\nu(G) + 2)$ .*

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